



Howrey Docket No.: 00322.0008.CPUS01

1FW\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Clifford J. Weber *et al.*

Appl. No.: 10/753,069

Filed: January 8, 2004

For: SYSTEMS AND METHODS FOR  
TRADING ACTIVELY MANAGED  
FUNDS

Art Unit: 3624

Examiner: TBA

Confirmation No.: 5428

Atty. Docket: 00322.0008.CPUS01

Date: January 24, 2006

**TRANSMITTAL OF PRELIMINARY AMENDMENT**

***Mail Stop Amendment***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:


- ☒ Preliminary Amendment; and
- ☒ Return Postcard.

Applicants have authorized the Commissioner to charge a fee for thirteen (13) newly added independent claims and twenty-two (22) newly added dependent claims to our deposit account no. **08-3038**, referencing Howrey Docket. No. **00322.0008.CPUS01**. Applicants believe this fee to be **\$3,700.00**. Applicants believe no other fees are due in connection with this Amendment, however, if any additional fees are in fact due, the Commissioner is hereby

authorized to charge the same to Howrey Deposit Account No. **08-3038**, referencing Howrey Docket. No. **00322.0008.CPUS01**.

Respectfully submitted,

Date: January 24, 2006

  
Michael J. Stinson (Reg. No. 45,429)

HOWREY SIMON ARNOLD & WHITE, LLP  
2941 Fairview Park Drive, Box 7  
Falls Church, VA 22042  
Facsimile (571) 273-8300  
Telephone (949) 721-6900 (Pacific Coast)

---

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to *Mail Stop Amendment*, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 24, 2006

Joan M. Gordon

Name of Person Mailing Paper

  
Signature of Person Mailing Paper